



Client Code Sub-broker Internal Reference No.

BO ID

Our Services

- Equities
- Derivatives
 - Equity
 - Commodities
 - Currency
- Depository
- Wealth Management
- Commodities
- Merchant Banking

Client Registration Form

Regd. Office: "Creative Castle" 70, Sampatrao Colony, Opp. Masonic Hall, Productivity road, Vadodara-7.
Phone : +91 265 2354075 Email : info@marketcreators.net



UMRN

Date

Tick (✓) Sponsor Bank Code Utility Code

CREATE I/We hereby authorize to debit (tick✓)

MODIFY

CANCEL Bank a/c number

with Bank IFSC or MICR

an amount of Rupees ₹

FREQUENCY Mthly Qtly H-Yrly Yrly As & when presented DEBIT TYPE Fixed Amount Maximum Amount

Reference 1 Phone No.

Reference 2 Email ID

PERIOD
From
To
Or Until Cancelled
 Signature Primary Account holder Signature Primary Account holder Signature Primary Account holder
1. 2. 3.

* This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorising the user entity/corporate to debit my account.
* I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorized the debit.
I/We hereby declare that the above information is true and correct and that the mobile number listed above is registered in my/our name(s) and/or is the number that I/we use in the ordinary course. I/We hereby declare that, irrespective of my/our registration of the above mobile in the provider customer preference register, or in any similar register maintained under applicable laws, now or subsequent to the date hereof, I/We consent to the Bank communicating to me/us about the transactions carried out in my/our aforesaid account(s).

To, MARKET CREATORS LIMITED Date
'Creative Castle',
70, Sampatrao Colony, Opp. Masonic Hall,
Productivity Road, Alkapuri, Vadodara - 390 007.

Dear Sir,
I/We hereby acknowledge the receipt of duly executed copy of KYC, Rights & Obligations of Stock Broker, Sub-Broker & Clients, Risk Disclosure Documents, Policies & Procedures, Rights & Obligations of depository participant and Beneficial owner, all other documents as executed by me/us. The above documents has been delivered / handover in registered Email ID / in person after active of account / at the time of signing KYC. I/We acknowledge that the other details related to my / our account are as under :

Trading Code DP Client ID

UCC

My Email Id

1
(Signature of Client)

Depository Participant Feal & Signature

Application No. :

We hereby acknowledge the receipt of the Account Opening Application Form :

BO Account Details

Client ID

	First / Sole Applicant	Second Applicant	Third Applicant
Name			
Signatures	<input checked="" type="checkbox"/> 2		

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*
Line 2
Line 3 City / Town / Village*
District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*
Line 2
Line 3 City / Town / Village*
State* ZIP / Post Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -
FAX - Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative
Name* Prefix First Name Middle Name Last Name
(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

A- Passport Number Passport Expiry Date
 B- Voter ID Card
 C- PAN Card
 D- Driving Licence Driving Licence Expiry Date
 E- UID (Aadhaar)
 F- NREGA Job Card
 Z- Others (any document notified by the central government) Identification Number
 S- Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : -- Place :

[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date
Emp. Name
Emp. Code
Emp. Designation
Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name
Code

[Institution Stamp]

MANDATORY**STOCK BROKING****MARKET CREATORS LIMITED**

"Creative Castle", 70, Sampatrao Colony, Opp. Masonic Hall, Off. Productivity Road,
Vadodara - 390 007. Ph. & Customercare No. : (0265)2354075 Fax : (0265) 2340214
Email for Investor grievance : customercare@marketcreators.net
website : www.sharemart.co.in

CLEARING NO

BSE : CLEARING NO. : 3005
NSE : CLEARING NO. : 06962
MSE : CLEARING NO. : 24400
CDSL : DP ID NO. : 38200

SEBI REG. NO.**INZ 000206338****SEBI REG. NO. DP****IN-DP-291-2005****NSE DERIVATIVES CLG. Member**

Globe Capital Market Limited
609, Ansal Bhawan, 16 K.G.Marg,
New Delhi - 110 001.
SEBI Reg. No. : INZ000177137

In case not satisfied with the response please contact to
concerned exchange / depository as per details mentioned below.

CEO & Compliance Officer : Kalpesh J.Shah
Contact No.:0265-2354075
E-mail : kjs@marketcreators.net

Exchange	Contact No.	E.mail I.D. of investor Grievance Cell
BSE	022-22728517	is@bseindia.com
NSE	1800220058	ignse@nse.co.in
SEBI	1800227575	url.http://scores.gov.in
CDSL	022-22723333	complaints@cdslindia.com

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"Mandatory Documents as prescribe by SEBI & Exchange" below Index

Sr. No.	Name of Document	Brief Significance of the Document	Page No.
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3.	Account Opening Form	Document captures the basic information about the constituent and the additional information about the constituent relevant to Demat and Trading Account	7 - 18
4.	Pro trading Disclosure & Tariff Sheet	Disclosure for own trading Document detailing the rate / amount of brokerage, demat charges and other charges levied on the client for trading on the stock exchange(s) and for demat account.	19 -20

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

5.	Power of Attorney	Power of Attorney for pay-in obligation of securities	21-22
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INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM**A. IMPORTANT POINTS:**

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

**C. Proof of Address (POA): - List of documents admissible as Proof of Address:
(*Documents having an expiry date should be valid on the date of submission.)**

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.

5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card / document with address, issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

*(*Sufficient documentary evidence in support of such claims to be collected.)*

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities / multilateral agencies exempt from paying taxes / filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army / Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

INSTRUCTIONS/ CHECK LIST

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

**In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.*

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or / and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For individuals:
 - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker / sub-broker's office.
 - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
5. For non-individuals:
 - a. Form need to be initialized by all the authorized signatories.
 - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

GUIDANCE NOTE - DO's AND DON'Ts FOR TRADING ON THE EXCHANGE(S) FOR INVESTORS**BEFORE YOU BEGIN TO TRADE**

1. Ensure that you deal with and through only SEBI registered intermediaries. You may check their SEBI registration certificate number from the list available on the Stock exchanges www.bseindia.com, www.nseindia.com, www.cdslindia.com, www.mcx-sx.com SEBI website www.sebi.gov.in.
2. Ensure that you fill the KYC form completely and strike off the blank fields in the KYC form.
3. Ensure that you have read all the mandatory documents viz. Rights and Obligations, Risk Disclosure Document, Policy and Procedure document of the stock broker.
4. Ensure to read, understand and then sign the voluntary clauses, if any, agreed between you and the stock broker. Note that the clauses as agreed between you and the stock broker cannot be changed without your consent.
5. Get a clear idea about all brokerage, commissions, fees and other charges levied by the broker on you for trading and the relevant provisions / guidelines specified by SEBI / Stock exchanges.
6. Obtain a copy of all the documents executed by you from the stock broker free of charge.
7. In case you wish to execute Power of Attorney (POA) in favour of the Stock broker, authorizing it to operate your bank and demat account, please refer to the guidelines issued by SEBI / Exchanges in this regard.

TRANSACTIONS AND SETTLEMENTS

8. The stock broker may issue electronic contract notes (ECN) if specifically authorized by you in writing. You should provide your email id to the stock broker for the same. Don't opt for ECN if you are not familiar with computers.
9. Don't share your internet trading account's password with anyone.
10. Don't make any payment in cash to the stock broker.
11. Make the payments by account payee cheque in favour of the stock broker. Don't issue cheques in the name of sub-broker. Ensure that you have a documentary proof of your payment/deposit of securities with the stock broker, stating date, scrip, quantity, towards which bank / demat account such money or securities deposited and from which bank/ demat account.
12. Note that facility of Trade Verification is available on stock exchanges' websites, where details of trade as mentioned in the contract note may be verified. Where trade details on the website do not tally with the details mentioned in the contract note, immediately get in touch with the Investors Grievance Cell of the relevant Stock exchange.
13. In case you have given specific authorization for maintaining running account, payout of funds or delivery of securities (as the case may be), may not be made to you within one working day from the receipt of payout from the Exchange. Thus, the stock broker shall maintain running account for you subject to the following conditions:
 - a) Such authorization from you shall be dated, signed by you only and contains the clause that you may revoke the same at any time.
 - b) The actual settlement of funds and securities shall be done by the stock broker, at least once in a calendar quarter or month, depending on your preference. While settling the account, the stock broker shall send to you a 'statement of accounts' containing an extract from the client ledger for funds and an extract from the register of securities displaying all the receipts/deliveries of funds and securities. The statement shall also explain the retention of funds and securities and the details of the pledged shares, if any.
 - c) On the date of settlement, the stock broker may retain the requisite securities/funds towards outstanding obligations and may also retain the funds expected to be required to meet derivatives margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. In respect of cash market transactions, the stock broker may retain entire pay-in obligation of funds and securities due from clients as on date of settlement and for next day's business, he may retain funds/securities/margin to the extent of value of transactions executed on the day of such settlement in the cash market.

- d) You need to bring any dispute arising from the statement of account or settlement so made to the notice of the stock broker in writing preferably within 7 (seven) working days from the date of receipt of funds / securities or statement, as the case may be. In case of dispute, refer the matter in writing to the Investors Grievance Cell of the relevant Stock exchanges without delay.
- 14. In case you have not opted for maintaining running account and pay-out of funds/securities is not received on the next working day of the receipt of payout from the exchanges, please refer the matter to the stock broker. In case there is dispute, ensure that you lodge a complaint in writing immediately with the Investors Grievance Cell of the relevant Stock exchange.
- 15. Please register your mobile number and email id with the stock broker, to receive trade confirmation alerts / details of the transactions through SMS or email, by the end of the trading day, from the stock exchanges.

IN CASE OF TERMINATION OF TRADING MEMBERSHIP

- 16. In case, a stock broker surrenders his membership, is expelled from membership or declared a defaulter; Stock exchanges gives a public notice inviting claims relating to only the "transactions executed on the trading system" of Stock exchange, from the investors. Ensure that you lodge a claim with the relevant Stock exchanges within the stipulated period and with the supporting documents.
- 17. Familiarize yourself with the protection accorded to the money and/or securities you may deposit with your stock broker, particularly in the event of a default or the stock broker's insolvency or bankruptcy and the extent to which you may recover such money and/or securities may be governed by the Bye-laws and Regulations of the relevant Stock exchange where the trade was executed and the scheme of the Investors' Protection Fund in force from time to time.

DISPUTES/ COMPLAINTS

- 18. Please note that the details of the arbitration proceedings, penal action against the brokers and investor complaints against the stock brokers are displayed on the website of the relevant Stock exchange.
- 19. In case your issue/problem/grievance is not being sorted out by concerned stock broker/sub-broker then you may take up the matter with the concerned Stock exchange. If you are not satisfied with the resolution of your complaint then you can escalate the matter to SEBI.
- 20. Note that all the stock broker/sub-brokers have been mandated by SEBI to designate an e-mail ID of the grievance redressal division / compliance officer exclusively for the purpose of registering complaints.

INDIVIDUAL CLIENT REGISTRATION FORM

(This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of clients)

Affix Photo
Please sign
across

⊗ 3

(To be filled by the Depository Participant)

DP Internal Reference No.	Date	D	D	M	M	Y	Y	Y	Y
DP ID	1	2	0	3	8	2	0	0	Client ID

(To be filled by the applicant in **BLOCK LETTERS** in English)

I / We request you to open a Demat Account in my / our name as per the following details :-

Type of Account (Please tick whichever is applicable)

Status	Sub - Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Others (specify) <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non - Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI Non - Repatriable Promoter <input type="checkbox"/> NRI Depository Receipts <input type="checkbox"/> Others (specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Depository Receipts <input type="checkbox"/> Others (specify)

First Name	Middle Name	Surname
------------	-------------	---------

1) Name of the Client (Sole first Holder)	
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2) Father / Spouse Name	
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Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss. <input type="checkbox"/> Other	Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (Specify)
-------	--	-------------	---

3) Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female	4) Date of Birth	5) Martial Status	Single / Married
--------	---	------------------	-------------------	------------------

6) Pan No.	Unique Identification No./ Aadhaar, if any
------------	--

7) Specify the proof of Identity submitted :

8) Address for Correspondence	
-------------------------------	--

City	State	Country	Pin Code
------	-------	---------	----------

Telephone No. Residence	Fax	Mobile
-------------------------	-----	--------

Telephone No. Office	Email
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9) Specify the proof of Correspondance Address submitted :

10) Permanent Address (if different from above or overseas address, Mandatory for Non-resident applicant)	
--	--

City	State	Country	Pin Code
------	-------	---------	----------

⊗ 4	⊗	⊗	7
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MANDATORY**COMMON KYC****11) Specify the proof of Permanent Address submitted :****12) Particulars of the Bank Account :** (If you are having more than one account, provide details of all in separate sheet)

Type of Account	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE/NRO <input type="checkbox"/> Others	MICR Code No.	
Bank Account No.		IFSC Code	
Name of bank (through which transaction will generally be routed)			
Branch, Address			

13) DEPOSITORY ACCOUNT PARTICULARS : (through which transaction will generally be routed)

DP ID		Client ID	
DP Name		Depository	NSDL / CDSL
BO Name			
ECS Mandate	I / we wish to receive dividend through ECS <input type="checkbox"/> Yes <input type="checkbox"/> No		
	I / We instruct the DP to receive each and every credit in my / our account (Automatic Credit) <input type="checkbox"/> Yes <input type="checkbox"/> No		
Education	<input type="checkbox"/> High School <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Docterate <input type="checkbox"/> Prof. Degree <input type="checkbox"/> Under High School <input type="checkbox"/> Illiterate <input type="checkbox"/> Others		
Account Statement Reqd.	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Quaterly		
14) Occupation	<input type="checkbox"/> Service <input type="checkbox"/> Central Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Student <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Retired <input type="checkbox"/> Agriculturist <input type="checkbox"/> Housewife <input type="checkbox"/> Others(Specify)		

15) Salaried :

Name of Employer / Office							
Address of Employer / Office							
City		State		Country		Pin Code	
Telephone No.		Fax No.		Designation			

16) Self-Employed / Business / Professional / Others

Nature of Business							
Name of Establishment							
Office Address							
City		State		Country		Pin Code	
Telephone No.		Fax No.					

Here by request you to open my/our account as Regular Demat account. I/We know about BSDA (Basic Service Demat Account) account Yes No

I/We agree to pay my/our bills amount as per schedule of charges applicable for regular Demat account.

MANDATORY**COMMON KYC****18) Annual Income Range** (Please tick against the relevant box):

Below Rs. 1,00,000/-		Rs. 1,00,000/- to Rs. 5,00,000/-	
Rs. 5,00,000/- to Rs. 10,00,000/-		Rs. 10,00,000/- to Rs. 25,00,000/-	
Rs. 25,00,000/- to Rs. 1,00,00,000/-		Above Rs. 1,00,00,000/-	

Financial Statement in mandatory for clients who wish to trade in derivatives segment.

19) No. of years of Investment / Trading Experience :**20) Trading Preference** (please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client) BSE :

● Equity Segment : X _____

● Derivatives Seg. : X _____

 NSE :

● Equity Segment : X _____

● Derivatives Seg. : X _____

● Currency Derivatives Seg. : X _____

 MSE LTD.

● Equity Segment : X _____

● Derivatives Seg. : X _____

● Currency Derivatives Seg. : X _____

 MCX LTD.

● Commodity : X _____

If, in future the client wants to trade on any new segment/new exchange separate authorisation/letter should be taken from the client by the stock broker.

21) DEALINGS THROUGH SUB-BROKERS / Authorised Person AND OTHER STOCK BROKERS

(a) If client is dealing through the sub-broker / Authorised Person, provide the following details :

Name of Sub-Broker / Authorised Person	SEBI Reg. No.
Regd. Office Add.	
Ph. No. of Sub-broker / Authorised Person :	Fax : website :

(b) **Whether dealing with any other stock broker / Authorised Person or sub broker (if case dealing with multiple stock brokers / sub-brokers / Authorised Person Provide details of all)**

Name of Member / Broker	
Name of Sub-Broker / Authorised Person, if any	
Name of Exchange	Client Code No.

Details of Disputes / dues pending from /to such stock brokers / sub-broker :

22) Details of any action / proceedings initiated or pending taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its partners / promoters / directors / authorised persons incharge of dealing in securities during the last three years or for violation of securities laws / other economic offences.**23) Please tick if applicable** Politically exposed Person Related to a politically exposed person**24) Any other information****25) Additional Details :**Whether you wish to receive physical contract note, Transaction cum Demat Statement or Electronic Contract Note (ECN) (please specify) : Physical Electronic Both
Specify your Email id, if applicable:Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):
.....

26) Introducer Details

Name of the Introducer			
Status of the Introducer	Sub-broker / Remisier / Authorised Person / Existing Client / Other specify _____		
Introducer's Code & Address			
	Ph. / Mb.		
Signature of the Introducer x			

27) Customer Profile

Network			
	<input type="checkbox"/> Upto Rs.1 Lakhs <input type="checkbox"/> Rs. 1 Lakhs to 10 Lakhs <input type="checkbox"/> Rs. 10 Lakhs upto Rs. 50 Lakhs <input type="checkbox"/> More than Rs. 50 Lakhs		
Expected no. of transactions on a monthly basis	<input type="checkbox"/> Upto 50	<input type="checkbox"/> 50 to 100	<input type="checkbox"/> More than 100
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly		
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
I / We like to receive the Annual Report	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)		

Transaction Using Secured Texting Facility (TRUST) - Refer to Terms and Conditions Annexure - 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.											
	<input type="checkbox"/>	Yes										
	<input type="checkbox"/>	No										
	I / We wish to register the following clearing member Ids under my/our below mentioned BO ID registered for TRUST											
	<table border="1"> <thead> <tr> <th>Stock Exchange Name / ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (OPTIONAL)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (OPTIONAL)								
Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (OPTIONAL)										

Additional Details

SMS Alert Facility	<input type="checkbox"/> Yes	Mobile No. +91 _____	<input type="checkbox"/> No
	Refer to Terms & Conditions given as Annexure-A		
easi	<input type="checkbox"/> Yes. If yes, please contact your DP for details	[Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]	<input type="checkbox"/> No

For NRIs

Foreign Address			
City	State		
Country	PIN		
RBI Ref. No.	RBI Approval Date		

I/We have read the the terms & Conditions of Rights & Obligations of Beneficial Owner and Depository Participant and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me / us above are true and to the best of my/our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / particulars mentioned by me / us in this form. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

Joint Demat A/c Holder Information

Joint Holders - Second Holder's Details

Name of Second Holder		Permanent Address	
Middle Name			
Last / Surname		PIN	
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other	City	State
Father / Husband Name		Country	
Date of Birth		E-mail ID	
Tel.	Mobile	Fax	
PAN / Gir No.	UID No.	IT Circle Ward / District	
Occupation	<input type="checkbox"/> Service <input type="checkbox"/> Central Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others(Specify)		
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (Specify)	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Nature of Business : (Product / Service Provided)			

Joint Holders - Third Holder's Details

Name of Third Holder		Permanent Address	
Middle Name			
Last / Surname		PIN	
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other	City	State
Father / Husband Name		Country	
Date of Birth		E-mail ID	
Tel.	Mobile	Fax	
PAN / Gir No.	UID No.	IT Circle Ward / District	
Occupation	<input type="checkbox"/> Service <input type="checkbox"/> Central Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others(Specify)		
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (Specify)	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Nature of Business : (Product / Service Provided)			
Do you wish to receive dividend / interest in to your bank account given below through ECS ?		<input type="checkbox"/> Yes <input type="checkbox"/> No	

Guardian Details (If first Holder or Second Holder or Third Holder is a minor)

Guardian Name			
Last / Surname			
Relationship			
Correspondence Address			
City	State		
Country	PIN		
PAN / GIR No.	Fax No.		
Telephone No.	Mobile No.	E-mail ID	
(In case of minor holder, photograph of guardian has to be affixed along with minor's photograph)			
Name *			

* In case of firms, Association of persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the firm, Association of persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

(Signatures should be preferably in blank ink)	First / Sole Signatory	Second Signatory	Third Signatory
	Passport size Photograph	Passport size Photograph	Passport size Photograph
⊗ 8	(Please sign across the photograph)	(Please sign across the photograph)	(Please sign across the photograph)

MANDATORY**CDSL / STOCK BROKING**

10	Address of Guardian(s)				
	City / Place: State & Country:				
	PIN Code :				
11	Mobile / Telephone No.				
12	Email ID of Guardian				
13	Relationship of Guardian With nominee				
14	Guardian Identification Detail - [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & signature <input type="checkbox"/> PAN <input type="checkbox"/> Adhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID				

Name(s) of holder(s)		Signature(s) of holder*
Sole / First Holder (Mr./Ms.)		⊗ 11
Second Holder (Mr./Ms.)		⊗
Third Holder(Mr./Ms.)		⊗

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note :

this nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination from to the account holder(s)

Know Your Client (KYC) Application Form

For Non - Individual

Please fill this form in ENGLISH and in BLOCK LETTERS.

A. IDENTITY DETAILS

1. Name of the Applicant : _____
2. Date of Incorporation : _____ (dd/mm/yyyy) & Place of Incorporation _____
3. Date of commencement of business : _____ (dd/mm/yyyy)
4. a. PAN : _____ b. Registration No. (e.g. CIN) : _____
5. Status (Please tick any one)
 Private Limited Co. / Public Limited Co. / Body Corporate / Partnership / Trust / Charities / NGO's / FI / FII /
 HUF / AOP / Bank / Government Body / Non - Government Organization / Defense Establishment / BOI /
 Society / LLP / Others
 (Please specify) _____

B. ADDRESS DETAILS

1. Address for correspondence : _____
 _____ City / town / Village : _____
 Pin code : _____ State : _____ Country : _____
2. Contact Details : Tel. (Off.): _____ Tel. (Res.): _____ Mobile No.: _____
 Fax No.: _____ Email. id.: _____
3. Specify the proof of address submitted for correspondence address : _____
4. Registered Address (if different from above) : _____

 City / town / Village : _____ Pin code : _____ State : _____
 Country : _____
5. Specify the proof of address submitted for registered address : _____

C. OTHERS DETAILS

1. Gross Annual Income Details (Please specify) : Income Range per annum : Below Rs. 1Lac 5 - 10 Lac
 10 - 25 Lac 25 Lacs - 1 crore > 1 crore.
2. Net - worth as on (date) _____ (dd/mm/yyyy): _____ (*Net worth should not be older than 1 year)

MANDATORY**STOCK BROKING**

3. Name, PAN, residential address and photographs or Promoters / Partners / Karta / Trustees and whole time directors :

4. DIN / UID of Promoters / Partners / Karta / and whole time directors : _____

5. Please tick, if applicable, for any of your authorized signatories / Promoters / Partners / Karta / Trustees / Whole time directors : Politically Exposed Person (PEP) / Related to a Politically Exposed Person (PEP)

7) Trading Preference (please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

BSE :

● Equity Segment : 13 _____

● Derivatives Seg. : _____

NSE :

● Equity Segment : 14 _____

● Derivatives Seg. : _____

● Currency Derivatives Seg. : _____

MSE LTD.

● Equity Segment : _____

● Derivatives Seg. : _____

● Currency Derivatives Seg. : _____

MCX LTD.

● Commodity : _____

If, in future the client wants to trade on any new segment/new exchange separate authorisation/letter should be taken from the client by the stock broker.

8) DEALINGS THROUGH SUB-BROKERS / AUTHORISED PERSON AND OTHER STOCK BROKERS

If client is dealing through the sub-broker /Authorised Person, provide the following details :

Name of Sub-Broker/Authorised Person	SEBI / A.P. Reg. No.
--------------------------------------	----------------------

Regd. Office Add.

Ph. No.	Fax No.	website
---------	---------	---------

9) Whether dealing with any other stock broker or sub broker / Authorised Person (if case dealing with multiple stock brokers / sub-brokers / Authorised Person Provide details of all)

Name of Member / Broker

Name of Sub-Broker / Authorised Person if any

Name of Exchange	Client Code No.
------------------	-----------------

Details of Disputes / dues pending from /to such stock brokers / sub-broker / Authorised Person :

10) Details of any action / proceedings initiated or pending taken by SEBI / Stock exchange / any other authority against the applicant/constituent or its partners/promoters/directors/authorised persons incharge of dealing in securities during the last three years or for violation of securities laws / other economic offences.

11) Particulars of the Bank Account :(If you are having more than one account, provide details of all in separate sheet)

Type of Account	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE/NRO <input type="checkbox"/> Others	Bank Account No.
-----------------	--	------------------

MICR Code No.	IFSC Code
---------------	-----------

Name of bank (through which transaction will generally be routed)

Branch, Address

12) DEPOSITORY ACCOUNT PARTICULARS : (through which transaction will generally be routed)

DP ID	Client ID
-------	-----------

DP Name	Depository	NSDL / CDSL
---------	------------	-------------

BO Name

15

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F. ADDITIONAL DETAILS

- Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify): Specify your Email id, if applicable:
- Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):
.....
- Number of years of Investment/Trading Experience:
- In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company / firm / others:
.....
- Any other information:

G. INTRODUCER DETAILS (optional)

Name of the Introducer:
(Surname) (Name) (Middle Name)

Status of the Introducer: Sub-broker / Remisier / Authorized Person / Existing Client / Others, please specify.....

Address and phone no. of the Introducer: Signature of the Introducer:

22) Declaration

1. I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am aware that I may be held liable for it.
2. I / We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I / We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I / We do hereby agree to be bound by such provisions as outlined in these documents. I / We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Name of Client : _____

Place : _____ Date _____

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FOR OFFICE USE ONLY (Originals verified) True copies of documents received (Self-Attested) Self Certified Document copies received

UCC Code allotted to the Client: -----

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of Person			
Person Code			
Designation of Person			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I / We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I / We have given/sent him a copy of all the KYC documents. I / We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I / We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

⊗

.....
Signature of the Authorised Signatory**Seal/Stamp of the stock broker****Date :****Place :**

PROPRIETARY TRADING DISCLOSURE

To,

Sir,

This is to inform you that we do only Client Based Trading and do not do PRO-Account Trading in BSE Ltd. Metropolitan Stock Exchange of India Ltd. & National Stock Exchange of India Ltd. (NSE).

Thanks & Regards,

For Market Creators Ltd.

Sd/-

Authorised Signatory / Director

I acknowledge the receipt of information given above by Market Creators Ltd. that they do only Client Base Trading and do not do PRO-Account Trading.

BROKERAGE

Capital Segment				
Trading	1st Leg		2nd Leg	
Type	Percentage	Minimum	Percentage	Minimum
Intraday	%	(Ps.)	%	(Ps.)
Settlement			%	(Ps.)
Delivery		%		Minimum (Ps.)

F & O Segment				
Trading	1st Leg		2nd Leg	
Intraday		%		%
Settlement				%
Options per Lot (')				

Verified By :

Authorised By :

Entered By :



MARKET CREATORS LIMITED

(Depository Participant of Central Depository Services (India) Limited)

"Creative Castle", 70, Sampatrao Colony, Opp. Masonic Hall, BPC Road, Off. Productivity Road, Vadodara - 390 007.

Ph. : (0265)2354075 Fax : (0265) 2340214

DP ID No. **12038200** SEBI Reg. No. **IN - DP - CDSL - 291 - 2005**

SCHEDULE OF CHARGES w.e.f. 01/01/2019

Particulars		
Life time AMC (Non Refundable)	<input type="checkbox"/>	Rs. 3000/-
Advance AMC (Non refundable)	<input type="checkbox"/>	Rs. 2000/-*
Annual Maintenance Charges - Corporate	<input type="checkbox"/>	Rs. 800/-
Annual Maintenance Charges Regular	<input type="checkbox"/>	Rs. 339/-
POA Charges (One Time)		Rs. 300/- or As per Norms
Sales (Market & Off Market)		0.02%, Min. Rs. 15/-
Dematerialization		Rs. 5/- Per Certificate.
Rematerialization		Min. Rs. 40/-
Mailing Charges (Demat / Remat Request / Rejection)		Min. Rs. 40/-
Custodial Charges		Nil
Creation of Pledge		Min. Rs. 40/-
Closure / Confirmation of Pledge		Min. Rs. 40/-
Invocation of Pledge		Min. Rs. 40/-
KRA Charges per PAN (One Time)		Min. Rs.50/-

Notes:

- (1) MCL Investor (Broking A/C with MCL), (2) Other Investor (Broking A/C Not with MCL, Only Demat A/c with MCL).
- Demat Account Charges would be debited to Broking Ledger from MCL Investor. Up front Charges will be Collected from other Investor (Demat Account with MCL but Trading with other Broker) i.e. Rs. 35/- per Transaction.
- All the percentage in the above tariff would be applied on the value of transaction as computed by CDSL.
- Annual Maintenance charges are non refundable and are leaved upfront for a period of one year from the date of Account opening.
- In case of corporate account Annual Maintenance charges are inclusive of Rs. 500/- payable to CDSL.
- Demat Request and Trade instruction will be accepted solely against payment of Cash.
- Transaction cum Billing statement will be sent once in a month, provided there is transaction else once in a year.
- Any extra statement will charge of Rs. 25/- for the first 10 pages, thereafter it will be charged @ Rs. 1/- per page.
- Fee Schedule base on existing CDSL changes and is subject in change at the sole discretion on MARKET CREATORS LTD.
- GST and other Government Charges as applicable will be levied.
- * Advance AMC valid up to 10 Years Only.

I have read and understood the charges as above as and agree to abide by the same.

BOID 1 2 0 3 8 2 0 0

Name(s) :

1. _____ 2. _____ 3. _____

POWER OF ATTORNEY

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE _____
 (Name of the BO), _____ (Name of the Second Holder),
 _____ (Name of the Third Holder), India, Indian inhabitant SEND
 GREETINGS.

Whereas I / We hold a Beneficiary Account No. 12038200 _____ (BO-ID) with Central Depository Services (India) Limited, through MARKET CREATORS LIMITED, bearing DP-ID 12038200.

And Whereas I am an investor having trading account no. _____ for buying and selling of securities through MARKET CREATORS LIMITED, a member of National Stock Exchange of India Ltd. and multi Commodity Exchange Ltd. Bombay Stock Exchange Ltd. bearing SEBI Registration No. INZ 000206338.

And Whereas due to exigency and paucity of time, I / We am / are desirous of appointing an agent/attorney to operate the aforesaid beneficiary account on my / our behalf for a limited purpose in the manner hereinafter appearing:

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT **MARKET CREATORS LIMITED**, as my / our true and lawful attorney (hereinafter referred to as the attorney) for me / us and on my / our behalf and in my / our name to do instruct the aforesaid Depository Participant as under.

- i. To transfer securities held in my / our aforementioned beneficial owner account(s) or any other account informed by me in writing to the stock broker from time to time to the demat account nos. as mentioned hereunder of the stock broker / exchange maintained for the purpose of settlement of trades and margin obligations arising of trades executed by me / us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only transfer of securities to the Clearing Member ID allotted to the stock broker by any existing or future exchange that the stock broker has joined / will join as a member or to any demat accounts linked to the said Clearing Member ID provided that I / we have entered in to client registration with the stock broker for such exchanges.

Details of Demat Account of Market Creators Limited :

Description of Demat Account	Demat Account No.
BSE : CM Principal A/c - CDSL	1203820000067111
CM Early Pay-In A/c - CDSL	1100001000015109
CM Pool A/c - NSDL	IN630051
	1203820000068907
NSE : CM Pool A/c - CDSL	1100001100017818
CM Early Pay-In A/c - CDSL	IN559634
CM Pool A/c - NSDL	
Margin Pledge Account	1203820000144796
Margin Pledge Account (F&O)	1203820000145261

- ii. To place/pledge the securities to Clearing Corporation/Clearing Member in favour of the stock broker for the limited purpose of meeting my/our margin requirements in connection with the trade executed by me / us on my recognized stock exchange through the stock broker.
- iii. To return to me / us, the securities or funds that may have been received by the stock broker erroneously or those securities or funds that the stock broker was not entitled to receive from me / us;
- iv. In the event of the Stock Broker acquiring membership of any new Exchange or any Segment of any new / existing Exchange and in the event of my / our executing transactions after opening trading account with the Stock Broker for such new Segment(s) / Exchange(s), the relevant demat account operated by the Stock Broker shall be added to the above list; and I / we shall be deemed to have consented to such addition(s). ii. to redeem mutual funds units held by me/us in demat form in my / our above beneficiary account in accordance with my / our instructions and to execute DIS for meeting obligations arising out of such instructions,
- v. To apply for various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers / buy back etc pursuant to oral/written/electronic instructions given by me/us to the stock broker,

I / We rectify the instructions given by the aforesaid stock broker to the depository participant named hereinabove in the manner specified herein.

I / We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked (without notice) in writing by me and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker in his office at Vadodara.

IN WITNESS WHEREOF I, the above named Mr. / Ms _____ has hereunto set my hands this _____ day of _____ Two Thousand _____

SIGNED, SEALED AND DELIVERED)

By the within named Beneficial Owner)

1. _____ 23 _____

2. _____ _____

3. _____) _____

[Name of Beneficial owners]

[Signature of Beneficial owners]

IN THE PRESENCE OF)

_____)

[Signature of Witness]

[Name & Address of Witness]

For Market Creators Limited

Director

In case of HUF. Please take name and signature of family members.

I ACCEPT **MARKET CREATORS LTD**

Address : "Creative Castle"
70, Sampatrao Colony,
Productivity Road,
Vadodara - 390 007.

Date : _____

Place : _____

Sr.No.	Name	Signature

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CSDL Definations :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P. J. Tower, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service".
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service for providing SMS alerts to the BO on the best efforts basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The service may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons of system maintenance or for such other reasons as may be warranted.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO want to receive the alerts from the depository. In case of change in mobile not intimated to the depository, the SMS alerts will be continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'OFF' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the information provide in the alert, the BO shall inform the depository and / or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred / suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorized the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for us of name, email address and mobile number for marking offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account / Unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CSDL at complaints@csdlindia.com. The BO is advice not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending SMS back to the service provide as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability for the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees :

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer :

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and / or SMS alert sent on the mobile number of the BO or for fraudulent, duplicate or erroneous use / misuse of such information by any third person.

Liability and Indemnity :

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments :

The Depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction :

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts of Mumbai.

I/We wish to avail the SMS Alert facility provided by the depository on my/our mobile number provide in the registration from subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account / transactions in my /our account as is necessary for the purposes of generation SMS Alerts by service provider, to be sent to the said mobile number.**

I/ We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee/charges as may be levied by the depository form time to time,

I/We further understand that the SMS alerts would be sent for a maximum for ISINs at a time. If more than four debits takes place, the BOs would be required top take up the matter with their DP.

I/We am/are aware that mere acceptance of the registration from does not imply in any way that request has been accepted by the depository for providing the service.

I/We provider the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable.)

BOID

1	2	0	3	8	2	0	0									
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DP ID) (Please write your 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which message are to be sent

9	1															
---	---	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____

Email ID : _____
(Please write only ONE valid email ID on which communication; if any, is to be sent)

Signatures ⊗ 24 ⊗ ⊗

Sole / First Holder Second Holder Third Holder

Place : _____ Date : _____

LETTER OF AUTHORITY

Client Name : _____

Client Code : _____

To,
MARKET CREATORS LIMITED.
 "CREATIVE CASTLE", 70, Sampatrao Colony,
 Opp. Masonic Hall, BPC Road, Off. Productivity Road,
 Alkapuri, Vadodara - 390 007.

Dear Sir,

Sub: Letter of Authority - CASH/F&O/Currency Derivative Segment of NSE / BSE / CDSL

I/We are dealing in securities with you at NSE/BSE/CDSL in Case, Derivative & and in order to facilitate ease of operations, I/We authorise you as under :

1. I/We authorise you to set off outstanding in any of our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the account may pertain to transactions in any segment of the Exchange or in any other exchange in which your company or your affiliate is having membership and/or against the value of case margin or collateral shares provide to you by us.
2. I/We hereby authorise you not to provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.
3. I/We hereby authorise you to keep all the securities which we give you in margin including the payout of securities received by us for meeting margin / other obligation in stock exchange in whatever manner which may include pledging of shares in favour of clearing member or clearing corporation for meeting margin / pay - in obligation on our behalf or for giving the same as margin to the Stock Exchange.
4. I/We request you to retain credit balance in any of my/our account and to use the idle funds towards our margin/future obligations at any or both the Exchanges unless I/We instruct you otherwise. I/We also authorize you to debit the necessary demat charged from time to time, for keeping the shares in your client demat beneficiary account on my/our behalf. I/We also authorise you to debit the financial charges @ 1.5 % p.m. for the debit balance if any, in my/our account and not settled as per the exchange requirements.
5. I / We request you to retain Securities in your Demat account for my/our margin/future obligations at all Exchanges, unless I/We instruct you to transfer the same of my/our account.
6. I / We request you to consider my/our telephonic instructions for order placing / order modification /order cancellation as a written instruction and give me / us all the confirmation on telephone unless instructed otherwise in writing. I / We am / are getting required details from contracts issued by you.
7. I / We request that you may send / despatch me / us contract notes margin statement, ledger and security statements and other documents through E-mail : on my / our designated e-mail address of _____ . We will completely rely on the log reports of your despatching software as a conclusive proof of dispatch of e-mail to me / us and will not dispute the same.
8. I / We will inform you the change my / our my e-mail, if any, in future either by regd. post or through a digitally signed e-mail.
9. Trading of all Exchanges is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. We understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond your control and may result in delay in processing or note processing by or sell Orders either in part or in full. I/We agree that I/We shall be fully liable and responsible for any such problems / fault.

- 10. I / We confirm that I / We will never sublet the trading terminal on any term of connectivity, from my / our place to any other place without your prior approval.
- 11. I / We am / are agreeable for & authorise you to with hold funds pay-out towards all the applicable margins and debits.
- 12. All fines / penalties and changes levied upon you due to my act / deeds or transactions may be recovered by you from my account.
- 13. I / We have a Trading As well as depository relationship withPlease debit the charges relevant with depository services from my / our trading account on monthly basis. I / We also agree to maintain the adequate balance in my trading account / pay adequate advance fee for the said reasons.
- 15. I / We agree that Member / Stock Broker has / may have a system to tape recording the conversations between the client and Member / Stock Broker's representative and / or dealer, either personally or over telephone. Such recording may be used by the Member / Stock Broker as and when required to resolve any dispute in connecting with the any trading, depository or any other stock broking transaction.

Yours Faithfully,

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DECLARATION FOR MOBILE NUMBER AND EMAIL ID

(Mandatory if E-mail ID or Mobile No. is already registered with us)

Date :

Subject : Common Mobile number / Email ID For Trading accounts and DEMAT accounts

Dear Sir,

I/We, Mr/Mrs/M/s would like to confirm that the Mobile number and Email ID is registered in my/our name. I am your registered client having trading code asand Demat account number as Upon understanding from you that my/our mobile number/email id is registered with some of the clients who have trading and/or demat accounts with you, I/We have to state that this is in my/our full knowledge and I/we have consented to the below clients who is / are my relative/s to state my mobile number/email id in their KYCs to which I/we have no objection. All the transactions in their accounts are not only done as per their respective instructions but they are well conveyed by me/us of all the SMS/Email belonging to them which are sent by you to me/us on my/our above mobile number/email id.

All these below clients also do not have any objection to the same, in consent they have also signed:

However, in case of any further regulatory requirements, I/we undertake to abide upon hearing from you.

Thanking You.

Name:

Address :

Note : The close relatives ONLY to be included are – Spouse, Dependent Children and Dependent Parents

Note : The close relatives ONLY to be included are – Spouse, Dependent Children and Dependent Parents

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FATCA-CRS Declaration & Supplementary KYC Information - Declaration Form for Individuals

NAME			
PAN			
Address Type (for KYC Address)	Residential Business Residential / Business Registered Office	Residential Business Residential / Business Registered Office	Residential Business Residential / Business Registered Office
Place of Birth			
Country of Birth			
Gross Annual Income Details in INR	Below 1 Lakh 5-10 Lacs 25 Lacs - 1Cr	1-5 Lacs 10-25 Lacs > 1 Crore	Below 1 Lakh 5-10 Lacs 25 Lacs - 1Cr
Net Worth in INR. In Lacs <i>[Optional]</i>			
Net Worth Date <i>[Optional]</i>	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Occupation Details [Please tick any one (v)]	Business Public Sector Private Sector Retired Agriculturist Housewife Student Forex Dealer Government Service Others [Please specify]	Business Public Sector Private Sector Retired Agriculturist Housewife Student Forex Dealer Government Service Others [Please specify]	Business Public Sector Private Sector Retired Agriculturist Housewife Student Forex Dealer Government Service Others [Please specify]
Politically Exposed Person [PEP]	Yes Not Applicable	Yes Not Applicable	Yes Not Applicable
Any other information <i>[if applicable]</i>	<i>[Please specify]</i>	<i>[Please specify]</i>	<i>[Please specify]</i>

Is your Country of Tax Residency other than India – Yes No
 If 'Yes', please specify the details of all countries where you hold tax residency and its Tax Identification Number & type

Sr. No	Country of Tax Residency#	Tax Payer Identification Number / Functional Equivalent	Identification Type <i>[TIN or other, please specify]</i>
1			
2			
3			

to include all countries other than India, where investor is Citizen / Resident / Green Card Holder / Tax Resident in those respective countries especially of USA

Declaration :

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I may liable for it. I hereby authorize Market Creators Limited to disclose, share, rely, remit in any form , mode or manner , all / any of the information provided by me, including all changes, updates to such information as and when provided by me or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India(FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries / or any regulated intermediaries registered with SEBI / RBI / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators / tax authorities. I authorize you to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me for the same.

Date:

Place:

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Market to better the best
MARKET CREATORS LTD.

Branch Offices : New No. 05, (Old No. 04)
Ramaicken Street,
Near to Scan World,
Nungambakkam,
Chennai - 600 034.

www.marketcreators.net

SEBI Rg. NSE CM/FO: INB/INF 230696230, BSE:INB 010696236,
CDSL IN-DP-CDSL-291-2005, MCX:12535*

* Through Mani Market Creators Ltd.